

Logics of Rational Agency

Lecture 1

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Part 1: Introduction, Motivation and Background

Part 2: Ingredients of a Logical Analysis of Rational Agency

- ▶ Logics of Informational Attitudes and Informative Actions
- ▶ Logics of Motivational Attitudes (Preferences)
- ▶ Time, Action and Agency

Part 3: Merging Logics of Rational Agency

- ▶ Two Models of Information Dynamics
- ▶ “Epistemizing” Logics of Action and Ability: *knowing how to achieve φ* vs. *knowing that you can achieve φ*
- ▶ Entangling Knowledge/Beliefs and Preferences
- ▶ Preferences Change, Plans Change
- ▶ Logics of Rational Agency in Action: Logic and Games

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Course Website

`ai.stanford.edu/~epacuit/lograt/nasslli2010.html`

Reading Material

- ✓ Pointers to literature on the website

Concerning Modal Logic

- ✓ Course by Blackburn and Areces
- ✓ *Modal Logic for Open Minds* by Johan van Benthem (CSLI, 2010)

Part 1: Introduction, Motivation and Background

We are interested in reasoning about rational (and not-so rational) agents engaged in some form of social interaction.

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- ▶ Philosophy (social epistemology, philosophy of action)
- ▶ Game Theory
- ▶ Social Choice Theory
- ▶ AI (multiagent systems)

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What is a “rational agent”? What are we modeling?

- ▶ has consistent preferences (complete, transitive)
- ▶ (acts as if she) maximizes expected utility
- ▶ reacts to observations
- ▶ revises beliefs when learning a *surprising* piece of information
- ▶ understands higher-order information
- ▶ plans for the future
- ▶ asks questions
- ▶ ????

We are interested in reasoning about rational (and not-so rational) agents **engaged in some form of social interaction.**

- ▶ playing a (card) game
- ▶ having a conversation
- ▶ executing a *social procedure*
- ▶

Goal: incorporate/extend existing game-theoretic/social choice analyses

We are interested in **reasoning about** rational (and not-so rational) agents engaged in some form of social interaction.

There is a jungle of logical frameworks!

- ▶ logics of informational attitudes (knowledge, beliefs, certainty)
- ▶ logics of action & agency
- ▶ temporal logics/dynamic logics
- ▶ logics of motivational attitudes (preferences, intentions)
- ▶ deontic logics

(Not to mention various game-theoretic/social choice models and logical languages for reasoning about them)

We are interested in **reasoning about** rational (and not-so rational) agents engaged in some form of social interaction.

- ▶ How can we compare different logical frameworks addressing similar aspects of rational agency and social interaction?
- ▶ How should we combine logical systems which address different aspects of social interaction towards the goal of a comprehensive (formal) theory of rational agency?
- ▶ How does a logical analysis contribute to the broader discussion of rational agency and social interaction within philosophy and the social sciences?

Game Theory

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“Game theory is a bag of analytical tools designed to help us understand the phenomena that we observe when decision-makers interact.” (pg. 1)

M. Osborne and A. Rubinstein. *Introduction to Game Theory*. MIT Press, 2004.

Game Situations

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	1,2	0,0
	<i>D</i>	0,0	2,1

1. a group of *self-interested* agents (players) involved in some interdependent decision problem, and
2. the players *recognize that they are engaged in a game situation*

Game Situations

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	1,2	0,0
	<i>D</i>	0,0	2,1

What should Ann (Bob) *do*?

Game Situations

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	1,2	0,0
	<i>D</i>	0,0	2,1

What does it mean for Ann to be *perfectly rational*?

Game Situations

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	1,2	0,0
	<i>D</i>	0,0	2,1

Ann's best choice depends on what she *expects* Bob to do, and this depends on what she *thinks* Bob expects her to do, and so on...

Who is game theory about?

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1. **Classical view:** idealized world with *perfectly rational agents*
2. **Humanistic view:** real people in interactive situations

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“We adhere to the classical point of view that the game under consideration fully describes the real situation — that any (pre) commitment possibilities, any repetitive aspect, any probabilities of error, or any possibility of jointly observing some random event, have already been modeled in the game tree.” (pg. 1005)

E. Kohlberg and J.-F. Mertens. *On the strategic stability of equilibria*. *Econometrica*, 54, pgs. 1003 - 1038, 1986.

L. Samuelson. *Comments on Game Theory*. *Game Theory: 5 Questions*, Automatic Press, 2007.

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2. **Humanistic view:** real people in interactive situations
 - the mathematical structures are *models* of interactive situations
 - the appropriate notion of equilibrium is part of the specification of the model

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R. Aumann and J. H. Dreze. *Rational Expectation in Games*. American Economic Review, 98, pgs. 72-86, 2008.

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- ▶ Normative vs. Descriptive

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R. Aumann. *Irrationality in Game Theory*. in: *Aumann's Collected Papers, Volume 1*, Chapter 35, 1992.

Background

- ▶ Basic Modal Logic
- ▶ Weak Systems of Modal Logic
- ▶ Combining Logics
- ▶ Comparing Logics

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- ⇒ Weak Systems of Modal Logic
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Weak Systems of Modal Logic

The Basic Modal Language: \mathcal{L}

$$p \mid \neg\varphi \mid \varphi \wedge \psi \mid \Box\varphi \mid \Diamond\varphi$$

where p is an atomic proposition (At)

Kripke (Relational) Models

$$\mathbb{M} = \langle W, R, V \rangle$$

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- ▶ $W \neq \emptyset$
- ▶ $R \subseteq W \times W$
- ▶ $V : \text{At} \rightarrow \wp(W)$

Truth in a Kripke Model

1. $\mathbb{M}, w \models p$ iff $w \in V(p)$
2. $\mathbb{M}, w \models \neg\varphi$ iff $\mathbb{M}, w \not\models \varphi$
3. $\mathbb{M}, w \models \varphi \wedge \psi$ iff $\mathbb{M}, w \models \varphi$ and $\mathbb{M}, w \models \psi$
4. $\mathbb{M}, w \models \Box\varphi$ iff for each $v \in W$, if wRv then $\mathbb{M}, v \models \varphi$
5. $\mathbb{M}, w \models \Diamond\varphi$ iff there is a $v \in W$ such that wRv and $\mathbb{M}, v \models \varphi$

Some Validities

$$(M) \quad \Box(\varphi \wedge \psi) \rightarrow \Box\varphi \wedge \Box\psi$$

$$(C) \quad \Box\varphi \wedge \Box\psi \rightarrow \Box(\varphi \wedge \psi)$$

$$(N) \quad \Box T$$

$$(K) \quad \Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$$

$$(Dual) \quad \Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$$

$$(Nec) \quad \text{from } \vdash \varphi \text{ infer } \vdash \Box\varphi$$

$$(Re) \quad \text{from } \vdash \varphi \leftrightarrow \psi \text{ infer } \vdash \Box\varphi \leftrightarrow \Box\psi$$

Some Validities

$$(M) \quad \Box(\varphi \wedge \psi) \rightarrow \Box\varphi \wedge \Box\psi$$

$$(C) \quad \Box\varphi \wedge \Box\psi \rightarrow \Box(\varphi \wedge \psi)$$

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$$(\text{Dual}) \quad \Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$$

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$$(\text{Re}) \quad \text{from } \vdash \varphi \leftrightarrow \psi \text{ infer } \vdash \Box\varphi \leftrightarrow \Box\psi$$

$$(\text{Mon}) \quad \frac{\vdash \varphi \rightarrow \psi}{\vdash \Box\varphi \rightarrow \Box\psi}$$

Neighborhoods

In a topology, a *neighborhood* of a point x is any set A containing x such that you can “wiggle” x without leaving A .

A *neighborhood system* of a point x is the collection of neighborhoods of x .

Neighborhoods in Modal Logic

Neighborhood Structure: $\langle W, N, V \rangle$

- ▶ $W \neq \emptyset$
- ▶ $N : W \rightarrow \wp(\wp(W))$
- ▶ $V : \text{At} \rightarrow \wp(W)$

Some Notation

Given $\varphi \in \mathcal{L}$ and a model \mathbb{M} , the

- ▶ *proposition* expressed by φ
- ▶ *extension* of φ
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$$(\varphi)^{\mathbb{M}} = \{w \in W \mid \mathbb{M}, w \models \varphi\}$$

$w \models \Box\varphi$ if the truth set of φ is a neighborhood of w

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What does it mean to be a neighborhood?

$w \models \Box\varphi$ if the truth set of φ is a neighborhood of w

neighborhood in some topology.

J. McKinsey and A. Tarski. *The Algebra of Topology*. 1944.

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contains all the immediate neighbors in some graph

S. Kripke. *A Semantic Analysis of Modal Logic*. 1963.

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contains all the immediate neighbors in some graph

S. Kripke. *A Semantic Analysis of Modal Logic*. 1963.

an element of some distinguished collection of sets

D. Scott. *Advice on Modal Logic*. 1970.

R. Montague. *Pragmatics*. 1968.

To see the necessity of the more general approach, we could consider probability operators, conditional necessity, or, to invoke an especially perspicuous example of Dana Scott, the present progressive tense.... Thus N might receive the awkward reading 'it is being the case that', in the sense in which 'it is being the case that Jones leaves' is synonymous with 'Jones is leaving'.

(Montague, pg. 73)

R. Montague. *Pragmatics and Intentional Logic*. 1970.

Segerberg's Essay

K. Segerberg. *An Essay on Classical Modal Logic*. Uppsala Technical Report, 1970.

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K. Segerberg. *An Essay on Classical Modal Logic*. Uppsala Technical Report, 1970.

This essay purports to deal with classical modal logic. The qualification "classical" has not yet been given an established meaning in connection with modal logic....Clearly one would like to reserve the label "classical" for a category of modal logics which—if possible—is large enough to contain all or most of the systems which for historical or theoretical reasons have come to be regarded as important, and which also possess a high degree of naturalness and homogeneity.

(pg. 1)

Example: Logics of High Probability

$\Box\varphi$ means “ φ is assigned ‘high’ probability”, where *high* means above some threshold $r \in [0, 1]$.

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H. Kyburg and C.M. Teng. *The Logic of Risky Knowledge*. Proceedings of WoLLIC (2002).

A. Herzig. *Modal Probability, Belief, and Actions*. Fundamenta Informaticae (2003).

Example: Social Choice Theory

$\Box\alpha$ mean "*the group accepts α .*"

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Note: the language is restricted so that $\Box\Box\alpha$ is not a wff.

Example: Social Choice Theory

$\Box\alpha$ mean "*the group accepts α .*"

Consensus: α is accepted provided *everyone* accepts α .

(E) $\Box\alpha \leftrightarrow \Box\beta$ provided $\alpha \leftrightarrow \beta$ is a tautology

(M) $\Box(\alpha \wedge \beta) \rightarrow (\Box\alpha \wedge \Box\beta)$

(C) $(\Box\alpha \wedge \Box\beta) \rightarrow \Box(\alpha \wedge \beta)$

(N) $\Box\top$

(D) $\neg\Box\perp$

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Theorem The above axioms axiomatize consensus (provided $n \geq 2^{|\text{At}|}$).

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Majority: α is accepted if a *majority* of the agents accept α .

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(S) $\Box\alpha \rightarrow \neg\Box\neg\alpha$

(T) $([\geq]\varphi_1 \wedge \cdots \wedge [\geq]\varphi_k \wedge [\leq]\psi_1 \wedge \cdots \wedge [\leq]\psi_k) \rightarrow$
 $\bigwedge_{1 \leq i \leq k} ([=]\varphi_i \wedge [=]\psi_i)$ where $\forall v \in V_I :$
 $|\{i \mid v(\varphi_i) = 1\}| = |\{i \mid v(\psi_i) = 1\}|$

Theorem The above axioms axiomatize majority.

Example: Social Choice Theory

$\Box\alpha$ mean "*the group accepts α .*"

M. Pauly. *Axiomatizing Collective Judgement Sets in a Minimal Logical Language*. 2006.

T. Daniëls. *Social Choice and Logic via Simple Games*. ILLC, Masters Thesis, 2007.

Many Other Examples

- ▶ Epistemic Logic: the logical omniscience problem.

M. Vardi. *On Epistemic Logic and Logical Omniscience*. TARK (1986).

- ▶ Reasoning about coalitions

M. Pauly. *Logic for Social Software*. Ph.D. Thesis, ILLC (2001).

- ▶ Knowledge Representation

V. Padmanabhan, G. Governatori, K. Su . *Knowledge Assesment: A Modal Logic Approach*. KRAQ (2007).

- ▶ Program logics: modeling concurrent programs

D. Peleg. *Concurrent Dynamic Logic*. J. ACM (1987).

- ▶ More during this course....

Neighborhood Frames

Let W be a non-empty set of states.

Any map $N : W \rightarrow \wp(\wp(W))$ is called a **neighborhood function**

Definition

A pair $\langle W, N \rangle$ is called a **neighborhood frame** if W a non-empty set and N is a neighborhood function.

Some Terminology

Let $\mathcal{F} = \langle W, N \rangle$ be a neighborhood frame.

- ▶ \mathcal{F} is **closed under intersections** if for any collections of sets $\{X_i\}_{i \in I}$ such that for each $i \in I$, $X_i \in N(w)$, then $\bigcap_{i \in I} X_i \in N(w)$.
- ▶ \mathcal{F} is **supplemented**, or **closed under supersets** or **monotonic** provided for each $X \subseteq W$, if $X \in N(w)$ and $X \subseteq Y \subseteq W$, then $Y \in N(w)$.
- ▶ \mathcal{F} **contains the unit** provided $W \in N(w)$
- ▶ the set $\bigcap_{X \in \mathcal{F}} X$ the **core of \mathcal{F}** . \mathcal{F} **contains its core** provided $\bigcap_{X \in \mathcal{F}} X \in \mathcal{F}$.
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- ▶ \mathcal{F} is **closed under intersections** if for any collections of sets $\{X_i\}_{i \in I}$ such that for each $i \in I$, $X_i \in N(w)$, then $\bigcap_{i \in I} X_i \in N(w)$.
- ▶ \mathcal{F} is **supplemented**, or **closed under supersets** or **monotonic** provided for each $X \subseteq W$, if $X \in N(w)$ and $X \subseteq Y \subseteq W$, then $Y \in N(w)$.
- ▶ \mathcal{F} **contains the unit** provided $W \in N(w)$
- ▶ the set $\bigcap_{X \in \mathcal{F}} X$ the **core of \mathcal{F}** . \mathcal{F} **contains its core** provided $\bigcap_{X \in \mathcal{F}} X \in \mathcal{F}$.
- ▶ \mathcal{F} is **augmented** if \mathcal{F} contains its core and is supplemented.

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From Kripke Frames to Neighborhood Frames

Let $R \subseteq W \times W$, define a map $R^\rightarrow : W \rightarrow \wp W$:

for each $w \in W$, let $R^\rightarrow(w) = \{v \mid wRv\}$

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Definition

Given a relation R on a set W and a state $w \in W$. A set $X \subseteq W$ is R -necessary at w if $R^\rightarrow(w) \subseteq X$.

From Kripke Frames to Neighborhood Frames

Let $R \subseteq W \times W$, define a map $R^\rightarrow : W \rightarrow \wp W$:

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Let \mathcal{N}_w^R be the set of sets that are R -necessary at w :

$$\mathcal{N}_w^R = \{X \mid R^\rightarrow(w) \subseteq X\}$$

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Lemma

Let R be a relation on W . Then for each $w \in W$, \mathcal{N}_w^R is augmented.

From Kripke Frames to Neighborhood Frames

Properties of R are reflected in \mathcal{N}_w^R :

- ▶ If R is reflexive, then for each $w \in W$, $w \in \bigcap \mathcal{N}_w^R$
- ▶ If R is transitive then for each $w \in W$, if $X \in \mathcal{N}_w^R$, then $\{v \mid X \in \mathcal{N}_v^R\} \in \mathcal{N}_w^R$.

From Neighborhood Frames to Kripke Frames

Theorem

- ▶ *Let $\langle W, R \rangle$ be a relational frame. Then there is an equivalent augmented neighborhood frame.*
- ▶ *Let $\langle W, N \rangle$ be an augmented neighborhood frame. Then there is an equivalent relational frame.*

From Neighborhood Frames to Kripke Frames

for all $X \subseteq W$, $X \in N(w)$ iff $X \in \mathcal{N}_w^R$.

Theorem

- ▶ Let $\langle W, R \rangle$ be a relational frame. Then there is an *equivalent augmented neighborhood frame*.
- ▶ Let $\langle W, N \rangle$ be an augmented neighborhood frame. Then there is an *equivalent relational frame*.

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Proof.

For each $w \in W$, let $N(w) = \mathcal{N}_w^R$.



From Neighborhood Frames to Kripke Frames

Theorem

- ▶ *Let $\langle W, R \rangle$ be a relational frame. Then there is an equivalent augmented neighborhood frame.*
- ✓ *Let $\langle W, N \rangle$ be an augmented neighborhood frame. Then there is an equivalent relational frame.*

Proof.

For each $w, v \in W$, $wR_N v$ iff $v \in \cap N(w)$.



Neighborhood Model

Let $\mathfrak{F} = \langle W, N \rangle$ be a neighborhood frame. A **neighborhood model** based on \mathfrak{F} is a tuple $\langle W, N, V \rangle$ where $V : \text{At} \rightarrow 2^W$ is a valuation function.

Truth in a Model

- ▶ $\mathfrak{M}, w \models p$ iff $w \in V(p)$
- ▶ $\mathfrak{M}, w \models \neg\varphi$ iff $\mathfrak{M}, w \not\models \varphi$
- ▶ $\mathfrak{M}, w \models \varphi \wedge \psi$ iff $\mathfrak{M}, w \models \varphi$ and $\mathfrak{M}, w \models \psi$

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- ▶ $\mathfrak{M}, w \models \Box\varphi$ iff $(\varphi)^{\mathfrak{M}} \in N(w)$
- ▶ $\mathfrak{M}, w \models \Diamond\varphi$ iff $W - (\varphi)^{\mathfrak{M}} \notin N(w)$

where $(\varphi)^{\mathfrak{M}} = \{w \mid \mathfrak{M}, w \models \varphi\}$.

Detailed Example

Suppose $W = \{w, s, v\}$ is the set of states and define a neighborhood model $\mathfrak{M} = \langle W, N, V \rangle$ as follows:

- ▶ $N(w) = \{\{s\}, \{v\}, \{w, v\}\}$
- ▶ $N(s) = \{\{w, v\}, \{w\}, \{w, s\}\}$
- ▶ $N(v) = \{\{s, v\}, \{w\}, \emptyset\}$

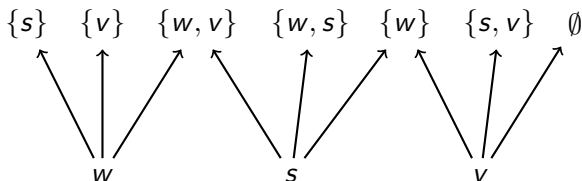
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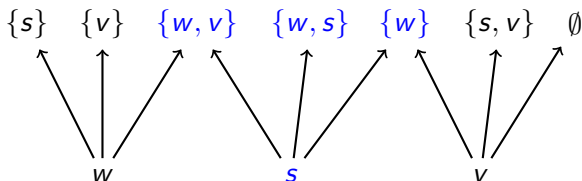


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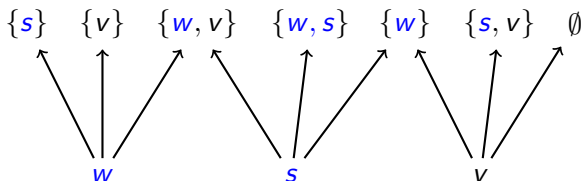


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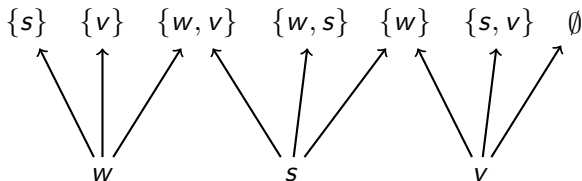
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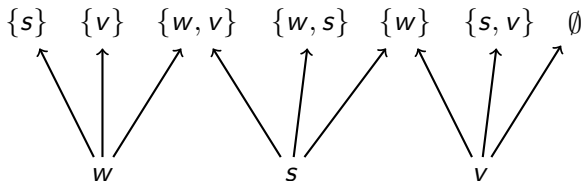
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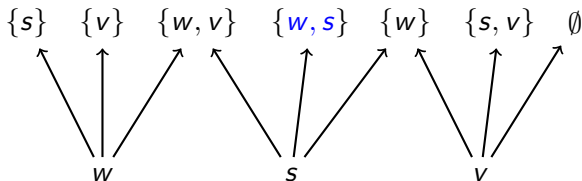
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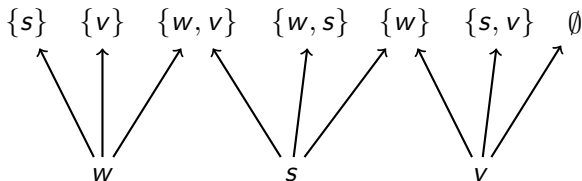
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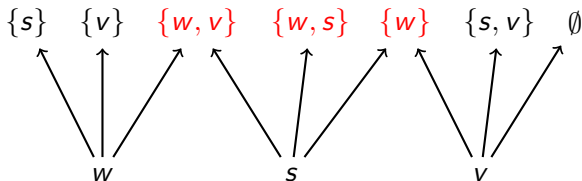
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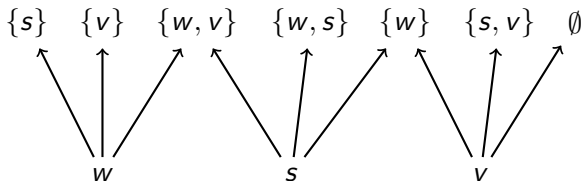


$$\mathfrak{M}, s \models \Diamond p$$

$$(\neg p)^{\mathfrak{M}} = \{v\}$$

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$$\mathfrak{M}, w \models \diamond \Box p?$$

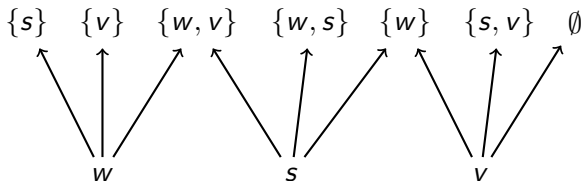
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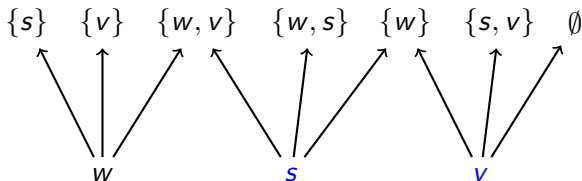
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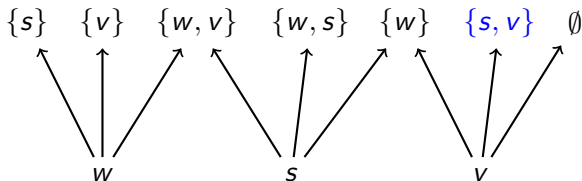
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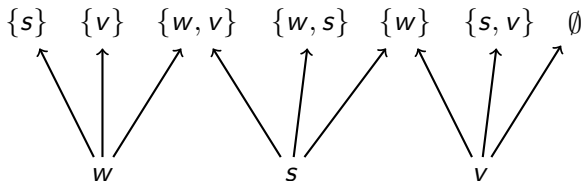
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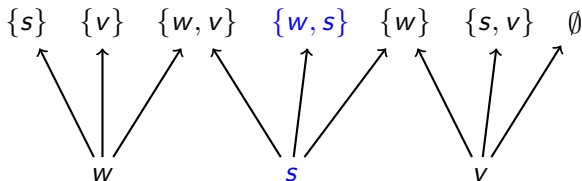
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Detailed Example

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$$\mathfrak{M}, w \not\models \diamond \square p$$

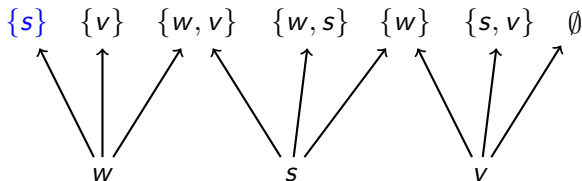
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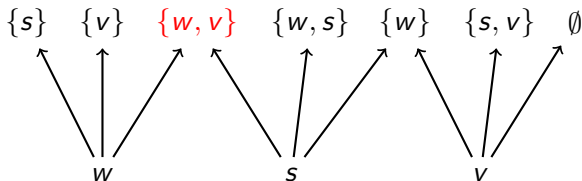
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What can we say?

Definition

A modal formula φ defines a property P of neighborhood functions if any neighborhood frame \mathfrak{F} has property P iff \mathfrak{F} validates φ .

What can we say?

Lemma

Let $\mathfrak{F} = \langle W, N \rangle$ be a neighborhood frame. Then

$\mathfrak{F} \models \Box(\varphi \wedge \psi) \rightarrow \Box\varphi \wedge \Box\psi$ iff \mathfrak{F} is closed under supersets.

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Lemma

Let $\mathfrak{F} = \langle W, N \rangle$ be a neighborhood frame. Then
 $\mathfrak{F} \models \Box\varphi \wedge \Box\psi \rightarrow \Box(\varphi \wedge \psi)$ iff \mathfrak{F} is closed under finite intersections.

What can we say?

Consider the formulas $\Diamond \top$ and $\Box \varphi \rightarrow \Diamond \varphi$.

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On relational frames, these formulas both define the same property: [seriality](#).

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Consider the formulas $\diamond T$ and $\Box\varphi \rightarrow \diamond\varphi$.

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On neighborhood frames:

- ▶ $\diamond T$ corresponds to the property $\emptyset \notin N(w)$
- ▶ $\Box\varphi \rightarrow \diamond\varphi$ is valid on \mathfrak{F} iff \mathfrak{F} is proper.

What can we say?

Lemma

Let $\mathfrak{F} = \langle W, N \rangle$ be a neighborhood frame such that for each $w \in W$, $N(w) \neq \emptyset$.

1. $\mathfrak{F} \models \Box\varphi \rightarrow \varphi$ iff for each $w \in W$, $w \in \bigcap N(w)$
2. $\mathfrak{F} \models \Box\varphi \rightarrow \Box\Box\varphi$ iff for each $w \in W$, if $X \in N(w)$, then $\{v \mid X \in N(v)\} \in N(w)$

Find properties on frames that are defined by the following formulas:

1. $\Box \perp$
2. $\neg \Box \varphi \rightarrow \Box \neg \Box \varphi$
3. $\Diamond \varphi \rightarrow \Box \varphi$
4. $\Diamond \Box \varphi \rightarrow \Box \Diamond \varphi$
5. $\Box \Diamond \varphi \rightarrow \Diamond \Box \varphi$

Some Non-validities

1. $\Box(\varphi \wedge \psi) \rightarrow \Box\varphi \wedge \Box\psi$
2. $\Box\varphi \wedge \Box\psi \rightarrow \Box(\varphi \wedge \psi)$
3. $\Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$
4. $\Box\top$
5. $\Box\varphi \rightarrow \varphi$
6. $\Box\varphi \rightarrow \Box\Box\varphi$
7. Many more...

Validities

(Dual) $\Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$ is valid in all neighborhood models.

(Re) If $\varphi \leftrightarrow \psi$ is valid then $\Box\varphi \leftrightarrow \Box\psi$ is valid.

Other modal operators

- ▶ $\mathfrak{M}, w \models \langle \rangle \varphi$ iff $\exists X \in N(w)$ such that $\exists v \in X, \mathfrak{M}, v \models \varphi$
- ▶ $\mathfrak{M}, w \models [] \varphi$ iff $\forall X \in N(w)$ such that $\forall v \in X, \mathfrak{M}, v \models \varphi$
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Lemma

Let $\mathfrak{M} = \langle W, N, V \rangle$ be a neighborhood model. Then for each $w \in W$,

1. if $\mathfrak{M}, w \models \Box \varphi$ then $\mathfrak{M}, w \models \langle \rangle \varphi$
2. if $\mathfrak{M}, w \models [\rangle \varphi$ then $\mathfrak{M}, w \models \Diamond \varphi$

However, the converses of the above statements are false.

Other modal operators

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Lemma

1. *If $\varphi \rightarrow \psi$ is valid, then so is $\langle \rangle \varphi \rightarrow \langle \rangle \psi$.*
2. *$\langle \rangle (\varphi \wedge \psi) \rightarrow (\langle \rangle \varphi \wedge \langle \rangle \psi)$ is valid*

Investigate analogous results for the other modal operators defined above.

Different Semantics

A **multi-relational** Kripke model is a triple $\mathbb{M} = \langle W, \mathcal{R}, V \rangle$ where $\mathcal{R} \subseteq \wp(W \times W)$.

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A world is called **queer** if nothing is necessary and everything is possible.

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A **multi-relational model with queer worlds** is a quadruple $\mathbb{M} = \langle W, Q, \mathcal{R}, V \rangle$.

$\mathbb{M}, w \models \Box\varphi$ iff $w \notin Q$ and $\exists R \in \mathcal{R}$ such that $\forall v \in W$, if wRv then $\mathbb{M}, v \models \varphi$.

Different Semantics

M. Fitting. *Proof Methods for Modal and Intuitionistic Logics*. 1983.

L. Goble. *Multiplex semantics for Deontic Logic*. *Nordic Journal of Philosophical Logic* (2000).

G. Governatori and A. Rotolo. *On the axiomatization of Elgesems logic of agency and ability*. *JPL* (2005).

PC Propositional Calculus

$$E \quad \Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$$

$$M \quad \Box(\varphi \wedge \psi) \rightarrow (\Box\varphi \wedge \Box\psi)$$

$$C \quad (\Box\varphi \wedge \Box\psi) \rightarrow \Box(\varphi \wedge \psi)$$

$$N \quad \Box\top$$

$$K \quad \Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$$

$$RE \quad \frac{\varphi \leftrightarrow \psi}{\Box\varphi \leftrightarrow \Box\psi}$$

$$Nec \quad \frac{\varphi}{\Box\varphi}$$

$$MP \quad \frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$$

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$$N \quad \Box\top$$

$$K \quad \Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$$

$$RE \quad \frac{\varphi \leftrightarrow \psi}{\Box\varphi \leftrightarrow \Box\psi}$$

$$Nec \quad \frac{\varphi}{\Box\varphi}$$

$$MP \quad \frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$$

A modal logic **L** is **classical** if it contains all instances of *E* and is closed under *RE*.

PC Propositional Calculus

$$E \quad \Box\varphi \leftrightarrow \neg\Diamond\neg\varphi$$

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In **E**, **M** is equivalent to

$$(Mon) \quad \frac{\varphi \rightarrow \psi}{\Box\varphi \rightarrow \Box\psi}$$

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PC 6. Propositional Calculus

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E is the smallest **classical** modal logic.

EM is the logic **E** + *Mon*

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EMC is the smallest **regular** modal logic

A logic is **normal** if it contains all instances of *E*, *C* and is closed under *Mon* and *Nec*

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EM is the logic **E** + *Mon*

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K = **EMCN**

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EM is the logic **E** + *Mon*

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EMC is the smallest **regular** modal logic

$$K = PC(+E) + K + Nec + MP$$

Useful Fact

Theorem (Uniform Substitution)

*The following rule can be derived in **E***

$$\frac{\psi \leftrightarrow \psi'}{\varphi \leftrightarrow \varphi[\psi/\psi']}$$

Some Facts (1)

Theorem

*The logic **E** is sound and strongly complete with respect to the class of all neighborhood frames.*

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Lemma

If $C \in \mathbf{L}$, then $\langle M_{\mathbf{L}}, N_{\mathbf{L}}^{min} \rangle$ is closed under finite intersections.

Theorem

*The logic **EC** is sound and strongly complete with respect to the class of neighborhood frames that are closed under intersections.*

Some Facts (2)

Fact: The canonical model for **EM** is not closed under supersets.

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Lemma

*Suppose that $\mathbb{M} = \sup(\mathbb{M}_{\mathbf{EM}}^{min})$. Then \mathbb{M} is canonical for **EM**.*

Theorem

*The logic **EM** is sound and strongly complete with respect to the class of supplemented frames.*

Some Facts (2)

Theorem

The logic \mathbf{K} is sound and strongly complete with respect to the class of filters.

Theorem

The logic \mathbf{K} is sound and strongly complete with respect to the class of augmented frames.

Incompleteness?

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Are all modal logics complete with respect to some class of neighborhood frames? **No**

Incompleteness

Martin Gerson. *The Inadequacy of Neighbourhood Semantics for Modal Logic*.
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Presents two logics \mathbf{L} and \mathbf{L}' that are **incomplete with respect to neighborhood semantics**.

Incompleteness

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Presents two logics \mathbf{L} and \mathbf{L}' that are **incomplete with respect to neighborhood semantics**.

(there are formulas φ and φ' that are valid in the class of frames for \mathbf{L} and \mathbf{L}' respectively, but φ and φ' are not deducible in the respective logics).

Incompleteness

Martin Gerson. *The Inadequacy of Neighbourhood Semantics for Modal Logic*.
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Presents two logics \mathbf{L} and \mathbf{L}' that are **incomplete with respect to neighborhood semantics**.

\mathbf{L} is between \mathbf{T} and $\mathbf{S4}$

\mathbf{L}' is above $\mathbf{S4}$ (adapts Fine's incomplete logic)

Comparing Relational and Neighborhood Semantics

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Fact: If a (normal) modal logic is complete with respect to some class of relational frames then it is complete with respect to some class of neighborhood frames.

What about the converse?

Are there normal modal logics that are incomplete with respect to relational semantics, but complete with respect to neighborhood semantics?

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Fact: If a (normal) modal logic is complete with respect to some class of relational frames then it is complete with respect to some class of neighborhood frames.

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Comparing Relational and Neighborhood Semantics

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M. Gerson. *A Neighbourhood frame for T with no equivalent relational frame*. Zeitschr. J. Math. Logik und Grundlagen (1976).

- ▶ An extension of **S4**

M. Gerson. *An Extension of S4 Complete for the Neighbourhood Semantics but Incomplete for the Relational Semantics*. Studia Logica (1975).

- ✓ Basic Modal Logic
- ✓ Weak Systems of Modal Logic
- ⇒ Combining Logics
- ⇒ Comparing Logics

Literature

A. Kurucz. *Combining modal logics*. in: P. Blackburn, J. van Benthem and F. Wolter (eds.) *Handbook of Modal Logic, Studies in Logic and Practical Reasoning* 3, Elsevier, 2007 pp. 869 - 924.

D. Gabbay, A. Kurucz, F. Wolter and M. Zakharyashev. *Many-Dimensional Modal Logics: Theory and Applications*. *Studies in Logic and the Foundations of Mathematics* 148, Elsevier, 2003.

Transfer Results

Given a family \mathbf{L} of modal logics and a *combination method* C , do certain properties of the component logics $\mathbf{L} \in \mathbf{L}$ transfer to their *combination* $C(\mathbf{L})$?

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Typical Assumptions:

1. C is only defined on *finite* families \mathbf{L} of modal logics
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Does (recursive) axiomatizability, decidability, complexity transfer?

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Let \mathbf{L}_1 and \mathbf{L}_2 be two normal (multi-)modal logics

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The **fusion** of \mathbf{L}_1 and \mathbf{L}_2 , denoted $\mathbf{L}_1 \oplus \mathbf{L}_2$, is the smallest normal modal logic in the joint language containing both \mathbf{L}_1 and \mathbf{L}_2 .

If $\mathcal{C}_1 = \{\langle W, R_1, \dots, R_n \rangle\}$ and $\mathcal{C}_2 = \{\langle W, S_1, \dots, S_m \rangle\}$ are classes of Kripke frames, let $\mathcal{C}_1 \oplus \mathcal{C}_2 = \{\langle W, R_1, \dots, R_n, S_1, \dots, S_m \rangle\}$

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Theorem. $\mathbf{L}_1 \oplus \mathbf{L}_2$ is a conservative extension of (consistent) \mathbf{L}_1 and \mathbf{L}_2 .

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Theorems. $\mathbf{L}_1 \oplus \mathbf{L}_2$ is a conservative extension of (consistent) \mathbf{L}_1 and \mathbf{L}_2 . If \mathbf{L}_1 and \mathbf{L}_2 are *characterized* by \mathcal{C}_1 and \mathcal{C}_2 respectively, then $\mathbf{L}_1 \oplus \mathbf{L}_2$ is characterized by $\mathcal{C}_1 \oplus \mathcal{C}_2$.

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Theorems. Many other properties transfer (eg., decidability). See the Kurucz paper for details.

Products

Suppose $\mathcal{F}_1 = \langle W_1, R_1^1, \dots, R_1^1 \rangle$ and $\mathcal{F}_2 = \langle W_2, R_2^1, \dots, R_2^m \rangle$ be Kripke frames. Define the **product**:

$$\mathcal{F}_1 \times \mathcal{F}_2 = \langle W_1 \times W_2, R_h^1, \dots, R_h^n, R_v^1, \dots, R_v^m \rangle$$

where

$$(w_1, w_2)R_h^i(v_1, v_2) \quad \text{iff} \quad w_1 R_1^i v_1 \text{ and } w_2 = v_2$$

$$(w_1, w_2)R_v^i(v_1, v_2) \quad \text{iff} \quad w_2 R_2^i v_2 \text{ and } w_1 = v_1$$

Let $\mathbf{L}_1, \mathbf{L}_2$ be (Kripke complete) logics, the **product** is

$$\mathbf{L}_1 \times \mathbf{L}_2 = \text{Log}(\{\mathcal{F}_1 \times \mathcal{F}_2 \mid \mathcal{F}_1 \in \text{Fr}(\mathbf{L}_1) \text{ and } \mathcal{F}_2 \in \text{Fr}(\mathbf{L}_2)\})$$

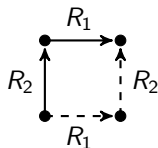
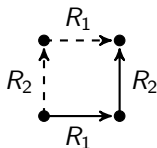
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$$(\text{comm}) \quad \diamond_1 \diamond_2 p \leftrightarrow \diamond_2 \diamond_1 p$$

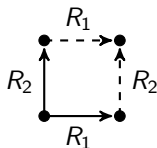
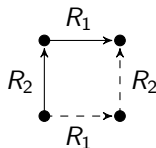
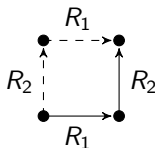


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$$\text{(cr)} \quad \diamond_1 \Box_2 p \rightarrow \Box_2 \diamond_1 p$$



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The general situation is very interesting, but beyond the scope of this course (see the Kurucz paper).

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We will see many examples of combining/merging modal logics during the course...

Background

- ✓ Basic Modal Logic
- ✓ Weak Systems of Modal Logic
- ✓ Combining Logics
- ⇒ Comparing Logics

What is the precise relationship between Neighborhood Models and Relational Models?

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We can *simulate* any non-normal modal logic with a bi-modal normal modal logic.

The key idea is to replace neighborhood models with a two-sorted Kripke model.

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Given a neighborhood model $\mathcal{M} = \langle W, N, V \rangle$, define a Kripke model $\mathcal{M}^\circ = \langle W', R_N, R_{\not N}, R_N, Pt, V \rangle$ as follows:

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- ▶ $Pt = W$

Let \mathcal{L}' be the language

$$\varphi := p \mid \neg\varphi \mid \varphi \wedge \psi \mid [\exists]\varphi \mid [\exists]\varphi \mid [N]\varphi \mid Pt$$

where $p \in \text{At}$ and Pt is a unary modal operator.

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Lemma

For each neighborhood model $\mathcal{M} = \langle W, N, V \rangle$ and each formula $\varphi \in \mathcal{L}$, for any $w \in W$,

$$\mathcal{M}, w \models \varphi \text{ iff } \mathcal{M}^\circ, w \models ST(\varphi)$$

Monotonic Models

Lemma

On Monotonic Models $\langle N \rangle([\exists]ST(\varphi) \wedge [\exists]\neg ST(\varphi))$ is equivalent to $\langle N \rangle([\exists]ST(\varphi))$

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- ▶ Decidability of the satisfiability problem
- ▶ Canonicity
- ▶ Salqvist Theorem

O. Gasquet and A. Herzig. *From Classical to Normal Modal Logic*. in *Proof Theory of Modal Logic*, 1996, pgs. 293 - 311.

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Background

- ✓ Basic Modal Logic
- ✓ Weak Systems of Modal Logic
- ✓ Combining Logics
- ✓ Comparing Logics

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- ▶ Static vs. dynamic

Ingredients of a Logical Analysis of Rational Agency

- ⇒ informational attitudes (eg., knowledge, belief, certainty)
- ⇒ time, actions and ability
- ⇒ motivational attitudes (eg., preferences)
- ⇒ group notions (eg., common knowledge and coalitional ability)
- ⇒ normative attitudes (eg., obligations)

End of Part 1.