

Neighborhood Semantics for Modal Logic

Lecture 6

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Neighborhood semantics for modal logic (Draft)

Ch 1: Introduction and Motivation

Ch 2: Core Theory: Expressivity, Completeness, Decidability, Complexity, Correspondence Theory

Ch 3: Richer Languages: Fixed-point operators, First-order extensions, Dynamic operators

Schedule

Lecture 1: June 1st, 14h00-16h30

Lecture 2: June 2nd 12h30-14h30

Lecture 3: June 7th, 14h00-16h30

Lecture 4: June 8th, 11h00-13h00

Lecture 5: June 8th, 14h00-16h30

Lecture 7: June 9th, 12h30-14h30

Lecture 8: June 13th, 12h30-15h00

Lecture 9: June 14th, 10h00-13h00

Lecture 10 Presentations (solutions to problems etc.): June 15th, 10h00-13h00

Core Theory

- ✓ Expressivity: Bisimulation, Behavioral Equivalence
- ✓ Completeness, Decidability, Complexity
- ✓ Alternative Semantics for Non-Normal Modal Logics
- ✓ Incompleteness
- ✓ From Neighborhoods to Orders
- ✓ Simulating Non-Normal Modal Logics

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- ✓ From Neighborhoods to Orders
- ✓ Simulating Non-Normal Modal Logics
 - ▶ Proof Theory (Tableaux, Sequents, etc.)
 - ▶ Model Theoretic Results: Sahlqvist Theorem, van Benthem Characterization Theorem, Interpolation

Richer Languages

$$p \mid \neg\varphi \mid \varphi \wedge \psi \mid \Box\varphi \mid \langle \rangle\varphi$$

- ▶ $\mathcal{M}, w \models \Box\varphi$ iff $[[\varphi]]_{\mathcal{M}} \in N(w)$
- ▶ $\mathcal{M}, w \models \langle \rangle\varphi$ iff there is a $X \in N(w)$ such that $X \subseteq [[\varphi]]_{\mathcal{M}}$

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- ▶ $\mathcal{M}, w \models \langle \rangle\varphi$ there is a $X \in N(w)$ such that $X \cap [\![\varphi]\!]_{\mathcal{M}} \neq \emptyset$

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- ▶ $\mathcal{M}, w \models \langle \rangle\varphi$ there is a $X \in N(w)$ such that $X \cap [[\varphi]]_{\mathcal{M}} \neq \emptyset$
- ▶ $\mathcal{M}, w \models \langle \rangle^{\psi}\varphi$ there is a $X \in N(w)$ such that $X \cap [[\psi]]_{\mathcal{M}} \neq \emptyset$ and $X \cap [[\varphi]]_{\mathcal{M}} \subseteq [[\varphi]]_{\mathcal{M}}$.

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- ▶ $\mathcal{M}, w \models \langle \rangle\varphi$ iff there is a $X \in N(w)$ such that $X \subseteq \llbracket\varphi\rrbracket_{\mathcal{M}}$
- ▶ $\mathcal{M}, w \models \langle \rangle\varphi$ there is a $X \in N(w)$ such that $X \cap \llbracket\varphi\rrbracket_{\mathcal{M}} \neq \emptyset$
- ▶ $\mathcal{M}, w \models \langle \rangle^{\psi}\varphi$ there is a $X \in N(w)$ such that $X \cap \llbracket\psi\rrbracket_{\mathcal{M}} \neq \emptyset$ and $X \cap \llbracket\psi\rrbracket_{\mathcal{M}} \subseteq \llbracket\varphi\rrbracket_{\mathcal{M}}$.
- ▶ $\mathcal{M}, w \models [B]\varphi$ iff for all max-f.i.p. $\mathcal{X} \subseteq N(w)$, $\bigcap \mathcal{X} \subseteq \llbracket\varphi\rrbracket_{\mathcal{M}}$
- ▶ $\mathcal{M}, w \models [B]^{\psi}\varphi$ iff for all maximal ψ -f.i.p. $\mathcal{X}^{\psi} \subseteq N(w)$, $\bigcap \mathcal{X}^{\psi} \cap \llbracket\psi\rrbracket_{\mathcal{M}} \subseteq \llbracket\varphi\rrbracket_{\mathcal{M}}$

Robust Belief, Reliable and Unreliable Evidence

Robust Belief: $\mathcal{M}, w \models B^r \varphi$ iff for each $X \subseteq W$ with $w \in X$, we have $\text{Min}_{\preceq}(X) \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}}$

Reliable Evidence: $N^C(w) = \{X \in N(w) \mid w \in X\}$

$\mathcal{M}, w \models \Box^C \varphi$ iff for all $v \in \bigcap N^C(w)$, $\mathcal{M}, v \models \varphi$

Unreliable Evidence: $N^U(w) = \{X \in N(w) \mid w \notin X\}$.

$\mathcal{M}, w \models \Box^U \varphi$ iff for all $v \in \bigcup N^U(w)$, $\mathcal{M}, v \models \varphi$

Richer Languages

- ▶ Normal + non-normal modalities
- ▶ First-order extensions
- ▶ Fixed-point operators/group notions (group evidence, common belief)
- ▶ Dynamic extensions (game logic, updating neighborhood models, evidence dynamics)

$p \mid \neg\varphi \mid \varphi \wedge \psi \mid \langle]\varphi \mid A\varphi$

- ▶ $\mathcal{M}, w \models \langle]\varphi$ iff there is a $X \in N(W)$ such that $X \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}}$
- ▶ $\mathcal{M}, w \models A\varphi$ iff for all $v \in W$, $\mathcal{M}, v \models \varphi$

Non-Normal Modal Logic with a Universal Modality

(A-K) $A(\varphi \rightarrow \psi) \rightarrow (A\varphi \rightarrow A\psi)$

(A-T) $A\varphi \rightarrow \varphi$

(A-4) $A\varphi \rightarrow AA\varphi$

(A-B) $E\varphi \rightarrow AE\varphi$

(A-Nec) From φ infer $A\varphi$

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($\langle \rangle$ -RM) From $\varphi \rightarrow \psi$ infer $\langle \rangle\varphi \rightarrow \langle \rangle\psi$

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(A-Nec)	From φ infer $A\varphi$
($\langle \rangle$ -RM)	From $\varphi \rightarrow \psi$ infer $\langle \rangle\varphi \rightarrow \langle \rangle\psi$
($\langle \rangle$ -Cons)	$\neg\langle \rangle\perp$
(A-N)	$A\varphi \rightarrow \langle \rangle\varphi$
(Pullout)	$\langle \rangle(\varphi \wedge A\psi) \leftrightarrow (\langle \rangle\varphi \wedge A\psi)$

$$[[A\alpha]]_{\mathfrak{M}} = \begin{cases} W & [[\alpha]]_{\mathfrak{M}} = W \\ \emptyset & [[\alpha]]_{\mathfrak{M}} \neq W \end{cases}$$

$$\langle \rangle (\varphi \wedge A\psi) \leftrightarrow (\langle \rangle \varphi \wedge A\psi)$$

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Case 1: $\llbracket \psi \rrbracket_{\mathcal{M}} = W$

$$\begin{aligned} \llbracket \langle \rangle (\varphi \wedge A\psi) \rrbracket_{\mathcal{M}} &= \{w \mid \exists X \in N(w) \text{ s.t. } X \subseteq \llbracket \varphi \wedge A\psi \rrbracket_{\mathcal{M}}\} \\ &= \{w \mid \exists X \in N(w) \text{ s.t. } X \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}} \cap \llbracket A\psi \rrbracket_{\mathcal{M}}\} \\ &= \{w \mid \exists X \in N(w) \text{ s.t. } X \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}}\} \\ &= \llbracket \langle \rangle \varphi \rrbracket_{\mathcal{M}} \\ &= \llbracket \langle \rangle \varphi \rrbracket_{\mathcal{M}} \cap \llbracket A\psi \rrbracket_{\mathcal{M}} \\ &= \llbracket \langle \rangle \varphi \wedge A\psi \rrbracket_{\mathcal{M}} \end{aligned}$$

$$\langle \rangle(\varphi \wedge A\psi) \leftrightarrow (\langle \rangle\varphi \wedge A\psi)$$

Case 2: $\llbracket \psi \rrbracket_{\mathcal{M}} \neq W$

Then, $\llbracket A\psi \rrbracket_{\mathcal{M}} = \emptyset$. This implies that

$\llbracket \varphi \wedge A\psi \rrbracket_{\mathcal{M}} = \llbracket \langle \rangle\varphi \wedge A\psi \rrbracket_{\mathcal{M}} = \emptyset$. Since, $\emptyset \notin N(w)$ for any w , we have that $\llbracket \langle \rangle(\varphi \wedge A\psi) \rrbracket_{\mathcal{M}} = \emptyset = \llbracket \langle \rangle\varphi \wedge A\psi \rrbracket_{\mathcal{M}}$.

Theorem. The logic EMA is sound and strongly complete with respect to neighborhood frames that are consistent, non-trivial and monotonic.

Neighborhoods with nominals

$$p \mid i \mid \neg\varphi \mid \varphi \wedge \psi \mid \Box\varphi \mid A\varphi$$

$p \in \text{At}$ and $i \in \text{Nom}$ (the set of nominals)

Neighborhood model with nominals $\langle W, N, V \rangle$,

$V : \text{At} \cup \text{Nom} \rightarrow \wp(W)$, where for all $i \in \text{Nom}$, $|V(i)| = 1$.

- ▶ $\mathfrak{M}, w \models i$ iff $V(w) = i$
- ▶ $\mathfrak{M}, w \models A\varphi$ iff for all $v \in W$, $\mathfrak{M}, v \models \varphi$

$$(BG) \quad \frac{\vdash E(i \wedge \Diamond j) \rightarrow E(j \wedge \varphi)}{\vdash E(i \wedge \Box \varphi)}$$

for $i \neq j$ and j not occurring in φ

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Theorem. A neighborhood frame is augmented iff it *admits** the rule BG.

B. ten Cate and T. Litak. *Topological Perspective on Hybrid Proof Rules*.
Electronic Notes in Theoretical Computer Science, 174, pgs. 79 - 94, 2007.

Characterizing Augmented Frames

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* A class of frames admits a rule provided every falsifying model of the consequent can be *extended* to a falsifying model of the premises.

Richer Languages

- ✓ Normal + non-normal modalities
 - ▶ First-order extensions
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 - ▶ Dynamic extensions (game logic, updating neighborhood models, evidence dynamics)

Neighborhood Models for First-Order Modal Logic

H. Arlo Costa and E. Pacuit. *First-Order Classical Modal Logic*. *Studia Logica*, **84**, pgs. 171 - 210 (2006).

Higher-Order Coalition Logic (time permitting)

G. Boella, D. Gabbay, V. Genovese, L. van der Torre. *Higher-Order Coalition Logic*. 2010.

First-Order Modal Language: \mathcal{L}_1

Extend the propositional modal language \mathcal{L} with the usual first-order machinery (constants, terms, predicate symbols, quantifiers).

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$$A := P(t_1, \dots, t_n) \mid \neg A \mid A \wedge A \mid \Box A \mid \forall x A$$

(note that equality is not in the language!)

State-of-the-art

T. Braüner and S. Ghilardi. *First-order Modal Logic*. Handbook of Modal Logic, pgs. 549 - 620 (2007).

D.Gabbay, V. Shehtman and D. Skvortsov. *Quantification in Nonclassical Logic*. Draft available (2008).

<http://lpcs.math.msu.su/~shehtman/QNCLfinal.pdf>

M. Fitting and R. Mendelsohn. *First-Order Modal Logic*. Kluwer Academic Publishers (1998).

First-order Modal Logic

A **constant domain Kripke frame** is a tuple $\langle W, R, D \rangle$ where W and D are sets, and $R \subseteq W \times W$.

A **constant domain Kripke model** adds a valuation function I , where for each n -ary relation symbol P and $w \in W$, $I(P, w) \subseteq D^n$.

A **substitution** is any function $\sigma : \mathcal{V} \rightarrow D$ (\mathcal{V} the set of variables).

A substitution σ' is said to be an x -**variant** of σ if $\sigma(y) = \sigma'(y)$ for all variable y except possibly x , this will be denoted by $\sigma \sim_x \sigma'$.

First-order Modal Logic

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A **constant domain Kripke model** adds a valuation function V , where for each n -ary relation symbol P and $w \in W$, $I(P, w) \subseteq D^n$.

Suppose that σ is a substitution.

1. $\mathcal{M}, w \models_{\sigma} P(x_1, \dots, x_n)$ iff $\langle \sigma(x_1), \dots, \sigma(x_n) \rangle \in I(P, w)$
2. $\mathcal{M}, w \models_{\sigma} \Box A$ iff $R(w) \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}, \sigma}$
3. $\mathcal{M}, w \models_{\sigma} \forall x A$ iff for each x -variant σ' , $\mathcal{M}, w \models_{\sigma'} A$

First-order Modal Logic

A **constant domain Neighborhood frame** is a tuple $\langle W, N, D \rangle$ where W and D are sets, and $N : W \rightarrow \wp(\wp(W))$.

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Suppose that σ is a substitution.

1. $\mathcal{M}, w \models_{\sigma} P(x_1, \dots, x_n)$ iff $\langle \sigma(x_1), \dots, \sigma(x_n) \rangle \in I(P, w)$
2. $\mathcal{M}, w \models_{\sigma} \Box A$ iff $\llbracket \varphi \rrbracket_{\mathcal{M}, \sigma} \in N(w)$
3. $\mathcal{M}, w \models_{\sigma} \forall x A$ iff for each x -variant σ' , $\mathcal{M}, w \models_{\sigma'} A$

Example

Suppose that F is a unary predicate symbol, $\mathcal{V} = \{x, y\}$, and $\langle W, N, D, I \rangle$ is a first order constant domain neighborhood model where

- ▶ $W = \{w, v, u\}$;
- ▶ $N(w) = \{\{w, v\}, \{u\}\}$, $N(v) = \{\{v\}\}$,
 $N(u) = \{\{w, v\}, \{v\}\}$;
- ▶ $D = \{a, b\}$; and
- ▶ $I(F, w) = \{a\}$, $I(F, v) = \{a, b\}$, and $I(F, u) = \emptyset$.

Example

There are four possible substitutions:

- ▶ $\sigma_1 : \mathcal{V} \rightarrow D$ where $\sigma_1(x) = a$, $\sigma_1(y) = b$;
- ▶ $\sigma_2 : \mathcal{V} \rightarrow D$ where $\sigma_2(x) = b$, $\sigma_2(y) = a$;
- ▶ $\sigma_3 : \mathcal{V} \rightarrow D$ where $\sigma_3(x) = \sigma_3(y) = a$; and
- ▶ $\sigma_4 : \mathcal{V} \rightarrow D$ where $\sigma_4(x) = \sigma_4(y) = b$

- ▶ $\llbracket F(x) \rrbracket_{\mathcal{M}, \sigma_1} = \{w, v\}$;
- ▶ $\llbracket F(x) \rrbracket_{\mathcal{M}, \sigma_2} = \{v\}$;
- ▶ $\llbracket F(x) \rrbracket_{\mathcal{M}, \sigma_3} = \{w, v\}$; and
- ▶ $\llbracket F(x) \rrbracket_{\mathcal{M}, \sigma_4} = \{v\}$.

Example

In general, every formula $\varphi \in \mathcal{L}_1$ is associated with a function

$$\llbracket \varphi \rrbracket : D^{\mathcal{V}} \rightarrow \wp(W)$$

Example

- ▶ $\llbracket \Box F(x) \rrbracket_{\mathcal{M}, \sigma_1} = \llbracket \Box F(x) \rrbracket_{\mathcal{M}, \sigma_3} = \{w, u\}$
 $\llbracket \Box F(x) \rrbracket_{\mathcal{M}, \sigma_2} = \llbracket \Box F(x) \rrbracket_{\mathcal{M}, \sigma_4} = \{v, u\};$
- ▶ $\llbracket \Box \forall x F(x) \rrbracket_{\mathcal{M}, \sigma_1} = \{v\};$ and
- ▶ $\llbracket \forall x \Box F(x) \rrbracket_{\mathcal{M}, \sigma_1} = \{v, u\}.$

Barcan Schemas

- ▶ **Barcan formula (BF):** $\forall x \Box A(x) \rightarrow \Box \forall x A(x)$
- ▶ **converse Barcan formula (CBF):** $\Box \forall x A(x) \rightarrow \forall x \Box A(x)$

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- ▶ **converse Barcan formula (CBF):** $\Box \forall x A(x) \rightarrow \forall x \Box A(x)$

Observation 1: *CBF* is provable in **FOL + EM**

Observation 2: *BF* and *CBF* both valid on relational frames with constant domains

Observation 3: *BF* is valid in a *varying* domain relational frame iff the frame is anti-monotonic; *CBF* is valid in a *varying* domain relational frame iff the frame is monotonic.

See (Fitting and Mendelsohn, 1998) for an extended discussion

Constant Domains without the Barcan Formula

The system **EMN** and seems to play a central role in characterizing monadic operators of high probability (See Kyburg and Teng 2002, Arló-Costa 2004).

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Of course, *BF* should fail in this case, given that it instantiates cases of what is usually known as the '**lottery paradox**':

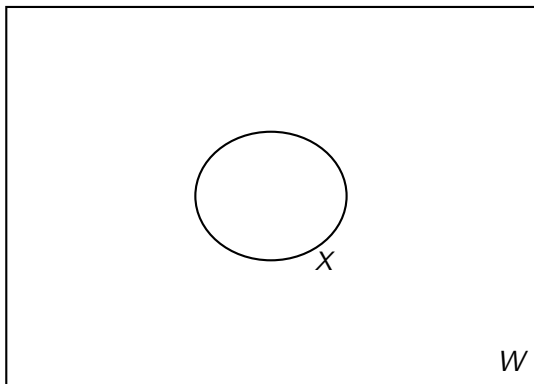
For each individual x , it is *highly probably* that x will loose the lottery; however it is not necessarily highly probably that each individual will loose the lottery.

Converse Barcan Formulas and Neighborhood Frames

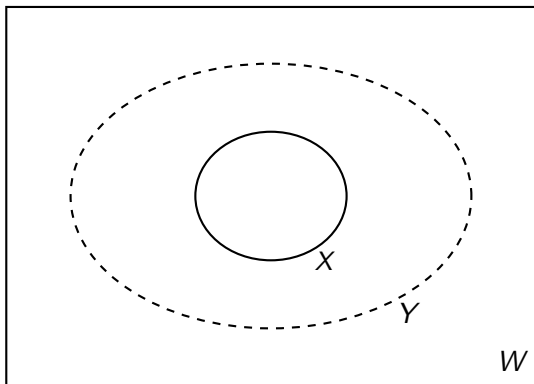
A frame \mathcal{F} is **consistent** iff for each $w \in W$, $N(w) \neq \emptyset$

A first-order neighborhood frame $\mathcal{F} = \langle W, N, D \rangle$ is **nontrivial** iff $|D| > 1$

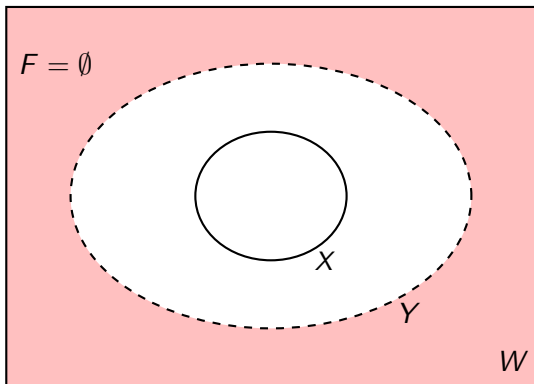
Lemma Let \mathcal{F} be a consistent constant domain neighborhood frame. The converse Barcan formula is valid on \mathcal{F} iff either \mathcal{F} is trivial or \mathcal{F} is supplemented.



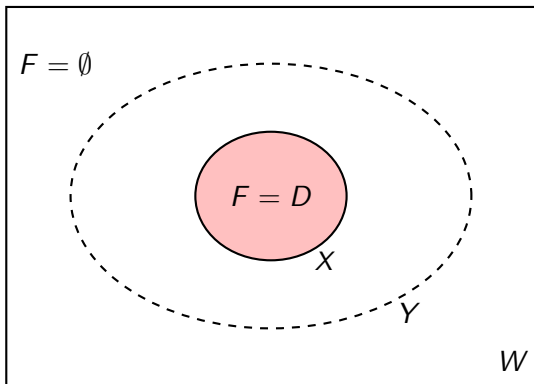
$$X \in N(w)$$



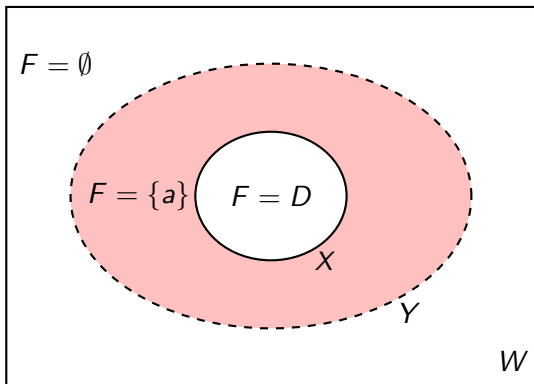
$$Y \notin N(w)$$



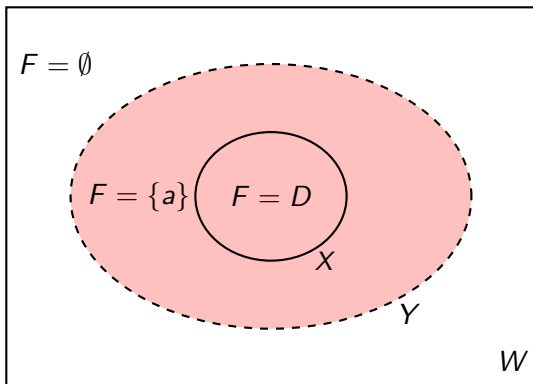
$$\forall v \notin Y, I(F, v) = \emptyset$$



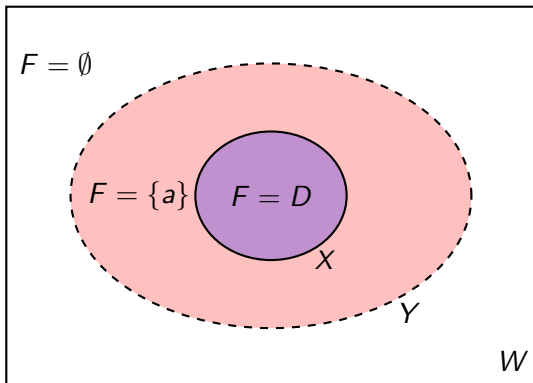
$$\forall v \in X, I(F, v) = D = \{a, b\}$$



$$\forall v \in Y - X, I(F, v) = D = \{a\}$$



$$(F[a])^M = Y \notin N(w) \quad \text{hence} \quad w \not\models \forall x \Box F(x)$$



$$(\forall x F(x))^{\mathcal{M}} = (F[a])^{\mathcal{M}} \cap (F[b])^{\mathcal{M}} = X \in N(w)$$

hence $w \models \Box \forall x F(x)$

Barcan Formulas and Neighborhood Frames

We say that a frame closed under $\leq \kappa$ intersections if for each state w and each collection of sets $\{X_i \mid i \in I\}$ where $|I| \leq \kappa$, $\bigcap_{i \in I} X_i \in N(w)$.

Lemma Let \mathcal{F} be a consistent constant domain neighborhood frame. The Barcan formula is valid on \mathcal{F} iff either

1. \mathcal{F} is trivial or
2. if D is finite, then \mathcal{F} is closed under finite intersections and if D is infinite and of cardinality κ , then \mathcal{F} is closed under $\leq \kappa$ intersections.

Suppose that **L** is a propositional modal logic. Let **FOL + L** denote the set of formulas closed under the following rules and axiom schemes

L All axiom schemes and rules from **L**.

(All) $\forall x\varphi(x) \rightarrow \varphi[y/x]$ is an axiom scheme,
where y is free for x in φ .

(Gen) $\frac{\varphi \rightarrow \psi}{\varphi \rightarrow \forall x\psi}$, where x is not free in φ .

Theorem **FOL + E** is sound and strongly complete with respect to the class of **all** constant domain neighborhood frames.

CBF

$$\vdash_{\mathbf{FOL+EM}} \Box \forall x \varphi(x) \rightarrow \forall x \Box \varphi(x)$$

$$\not\vdash_{\mathbf{FOL+E+(CBF)}} \Box(\varphi \wedge \psi) \rightarrow (\Box \varphi \wedge \Box \psi)$$

Completeness Theorems

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Theorem FOL + E is sound and strongly complete with respect to the class of **all** frames.

Theorem FOL + EC is sound and strongly complete with respect to the class of frames that are closed under intersections.

Theorem FOL + EM is sound and strongly complete with respect to the class of supplemented frames.

Theorem FOL + E + CBF is sound and strongly complete with respect to the class of frames that are either non-trivial and supplemented or trivial and not supplemented.

FOL + K and **FOL + K + BF**

Theorem **FOL + K** is sound and strongly complete with respect to the class of filters.

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Observation The augmentation of the smallest canonical model for **FOL + K** is not a canonical model for **FOL + K**. In fact, the closure under infinite intersection of the minimal canonical model for **FOL + K** is not a canonical model for **FOL + K**.

FOL + K and **FOL + K + BF**

Theorem **FOL + K** is sound and strongly complete with respect to the class of filters.

Observation The augmentation of the smallest canonical model for **FOL + K** is not a canonical model for **FOL + K**. In fact, the closure under infinite intersection of the minimal canonical model for **FOL + K** is not a canonical model for **FOL + K**.

Lemma The augmentation of the smallest canonical model for **FOL + K + BF** is a canonical for **FOL + K + BF**.

Theorem **FOL + K + BF** is sound and strongly complete with respect to the class of augmented first-order neighborhood frames.

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1. **S4M** is complete for the class of all frames that are reflexive, transitive and *final* (every world can see an 'end-point'). However **FOL** + **S4M** is incomplete for Kripke models based on **S4M**-frames. (see Hughes and Cresswell, pg. 283).

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2. **S4.2** is S4 with $\diamond\Box\varphi \rightarrow \Box\diamond\varphi$. This logic is complete for the class of frames that are reflexive, transitive and *convergent*. However, **FOL** + **S4M** + *BF* is incomplete for the class of constant domain models based on reflexive, transitive and convergent frames. (see Hughes and Cresswell, pg. 271)

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3. The quantified extension of **GL** is not complete (with respect to varying domains models).

What is going on?

R. Goldblatt. *Quantifiers, Propositions and Identity: Admissible Semantics for Quantified Modal and Substructural Logics*. Lecture Notes in Logic No. 38, Cambridge University Press, 2011.

Background: Incompleteness

There are (consistent) modal logics that are **incomplete**

A general model is a structure $\langle W, R, V, \mathcal{A} \rangle$ where \mathcal{A} is a suitable boolean algebra with an operator of propositions.

All modal logics are sound and strongly complete with respect to general frames.

Theorem (Goldblatt and Mares) For any **canonical** propositional modal logic **S**, its quantified extension **QS** is complete over a class of **general frames** for which the underlying propositional frame are just the **S**-frames.

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- ▶ New perspective on the Barcan formula: it corresponds to **Tarskian models**
- ▶ There is a trade-off between having the underlying Kripke frame validate the propositional logic in question and having a Tarskian-reading of the quantifier.

Central Idea

Algebraic reading of the universal quantifier: $\forall x\varphi$ is true at a world w iff there is some proposition X such that X entails every instantiation of φ and X obtains at w .

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$\mathcal{M}, w \models_{\sigma} \forall xA$ iff there is a proposition X such that $w \in X$ and $X \subseteq (A)_{\sigma(x|d)}^{\mathcal{M}}$ for all $d \in D$.

vs.

$\mathcal{M}, w \models_{\sigma} \forall xA$ iff for all $d \in D$, $\mathcal{M}, w \models_{\sigma(x|d)} A$

General Frames

Let $\langle W, R \rangle$ be a frame.

$[R] : \wp W \rightarrow \wp W$ where

$[R](X) = \{w \in W \mid \text{for all } v \in W, wRv \text{ implies } v \in X\}$

So $(\Box\alpha)^{\mathcal{M}} = [R](\alpha)^{\mathcal{M}}$

$X \Rightarrow Y = (W - X) \cup Y$

So $(\alpha \rightarrow \beta)^{\mathcal{M}} = (\alpha)^{\mathcal{M}} \Rightarrow (\beta)^{\mathcal{M}}$.

Halmos Functions

$$\varphi : D^{\mathcal{V}} \rightarrow \wp W$$

Let φ and ψ be two such functions, we can lift $[R]$ and \Rightarrow to operations of functions: Eg., if $\varphi : D^{\mathcal{V}} \rightarrow \wp W$ and $f \in D^{\mathcal{V}}$.

$$([R]\varphi)(f) = [R](\varphi(f))$$

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Fix a set $Prop \subseteq \wp W$. This defines for each $S \subseteq \wp W$,

$$\sqcap S = \bigcup \{X \in Prop \mid X \subseteq \bigcap S\}$$

General Frames for First-Order Modal Logic

Suppose $Prop \subseteq \wp W$ and let $\varphi : D^{\mathcal{V}} \rightarrow Prop$,
 $(\forall_x \varphi)f = \prod_{d \in D} \varphi(f[x|d])$

General Frames for First-Order Modal Logic

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$\langle W, R, V, Prop, PropFun \rangle$ where

- ▶ $Prop$ contains \emptyset and is closed under \Rightarrow and $[R]$
- ▶ Contains the function $\varphi_{\emptyset}(f) = \emptyset$ for all $f \in D^{\mathcal{V}}$
- ▶ $PropFun$ is closed under \Rightarrow , $[R]$ and \forall_x .
- ▶ Assume $(P)^{\mathcal{M}} : D^{\mathcal{V}} \rightarrow \wp W$ is an element of $PropFun$ for each atomic predicate P .

General Completeness

Theorem For any propositional modal logic \mathbf{S} , the quantified logic \mathbf{QS} is complete for the class of (all validating) quantified general frames.

Note that the canonical model construction has as worlds maximally consistent sets that need not be \forall -complete.

Key Results

Theorem (Goldblatt and Mares) If \mathbf{S} is a canonical propositional logic, then \mathbf{QS} is characterized by the class of all \mathbf{QS} -frames whose underlying propositional frames validate \mathbf{S} .

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Logics containing the Barcan formula have **two** characterizing canonical general frames: one that is Tarskian and one that is not.

1. If **S** is canonical, then the second canonical model will have an underlying propositional frame that validates **S** (eg., **S4.2**), but may not be Tarskian.

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Theorem (Goldblatt and Mares) If **S** is a canonical propositional logic, then **QS** is characterized by the class of all **QS**-frames whose underlying propositional frames validate **S**.

Logics containing the Barcan formula have **two** characterizing canonical general frames: one that is Tarskian and one that is not.

1. If **S** is canonical, then the second canonical model will have an underlying propositional frame that validates **S** (eg., **S4.2**), but may not be Tarskian.
2. On the other hand, The Tarskian canonical model may not have an underlying propositional frame that is a frame for **S** (again **S4.2** is an example).

R. Goldblatt. *Quantifiers, Propositions and Identity: Admissible Semantics for Quantified Modal and Substructural Logics*. Lecture Notes in Logic No. 38, Cambridge University Press and the Association for Symbolic Logic, 2011.

An Application: Coalition Logic

G. Boella, D. Gabbay, V. Genovese, L. van der Torre. *Higher-Order Coalition Logic*. 19th European Conference on Artificial Intelligence, pgs. 555 - 560, 2010.

Q. Chen and K. Su. *Higher-Order Epistemic Coalition Logic for Multi-Agent Systems*. 7th Workshop on Logical Aspects of Multi-Agent Systems, 2014.

Coalition Logic: $\varphi := p \mid \neg\varphi \mid \varphi \wedge \varphi \mid [C]\varphi$

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Higher-Order Coalition Logic: $\varphi :=$

$F(x_1, \dots, x_n) \mid Xx \mid \neg\varphi \mid \varphi \wedge \varphi \mid \forall X\varphi \mid \forall x\varphi \mid [\{x\}\varphi] \varphi \mid \langle\{x\}\varphi\rangle\varphi$

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- ▶ $F(x_1, \dots, x_n)$ is a first-order atomic formula
- ▶ x is a first-order variable
- ▶ X is a set variable
- ▶ $\{x\}\psi$ is a group operator representing the set of all d such that $\psi[d/x]$ holds

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Every coalition such that all of its members are users can achieve φ .

- ▶ Complex relationships between coalitions and agents:

$$[\{x\}\varphi(x)]\psi \rightarrow [\{y\}\exists x(\varphi(x) \wedge \text{collaborates}(y, x))]\psi$$

If the coalition represented by φ can achieve ψ then so can any group that collaborates with at least one member of $\varphi(x)$.

HCL: Barcan/Converse Barcan Formulas

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*For each person at ILLC, I can make them happy **does not imply** that I can do something to make everyone at ILLC happy.*

Higher-Order Coalition Logic

Sound and complete axiomatization combines ideas from coalitional logic, first-order extensions of non-normal modal logics and Henkin-style completeness for second-order logic.

Richer Languages

- ✓ Normal + non-normal modalities
- ✓ First-order extensions
 - ▶ Fixed-point operators/group notions (group evidence, common belief)
 - ▶ Dynamic extensions (game logic, updating neighborhood models, evidence dynamics)