

# Modal Logic

## Preference Modal Logics

Eric Pacuit

University of Maryland, College Park  
`ai.stanford.edu/~epacuit`

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$x, y$  objects

$x \succeq y$ :  $x$  is at least as good as  $y$

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1.  $x \succeq y$  and  $y \not\succeq x$  ( $x \succ y$ )
2.  $x \not\succeq y$  and  $y \succeq x$  ( $y \succ x$ )
3.  $x \succeq y$  and  $y \succeq x$  ( $x \sim y$ )
4.  $x \not\succeq y$  and  $y \not\succeq x$  ( $x \perp y$ )

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3.  $x \succeq y$  and  $y \succeq x$  ( $x \sim y$ )
4.  $x \not\succeq y$  and  $y \not\succeq x$  ( $x \perp y$ )

**Properties:** transitivity, connectedness, etc.

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## Preference (Modal) Logics

1.  $\langle \succ \rangle \varphi \rightarrow \langle \preceq \rangle \varphi$
2.  $\langle \preceq \rangle \langle \succ \rangle \varphi \rightarrow \langle \succ \rangle \varphi$
3.  $\varphi \wedge \langle \preceq \rangle \psi \rightarrow ((\langle \succ \rangle \psi \vee \langle \preceq \rangle (\psi \wedge \langle \preceq \rangle \varphi))$
4.  $\langle \succ \rangle \langle \preceq \rangle \varphi \rightarrow \langle \succ \rangle \varphi$

**Theorem** The above logic (with Necessitation and Modus Ponens) is sound and complete with respect to the class of preference models.

J. van Benthem, O. Roy and P. Girard. *Everything else being equal: A modal logic approach to ceteris paribus preferences*. JPL, 2008.



## Preference Modalities

$\varphi \geq \psi$ : the state of affairs  $\varphi$  is at least as good as  $\psi$   
(*ceteris paribus*)

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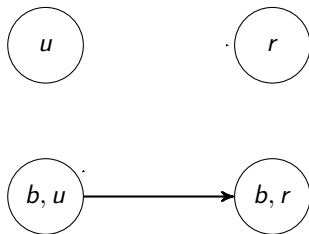
$\langle \Gamma \rangle^{\leq} \varphi$ :  $\varphi$  is true in “better” world, *all things being equal*.

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## All Things Being Equal...

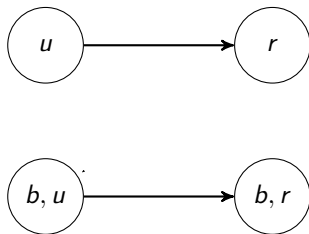


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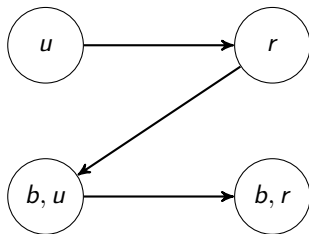
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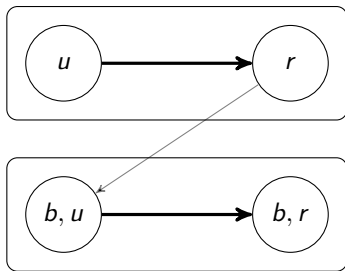
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- ▶ Without boots ( $\neg b$ ), I also prefer my raincoat ( $r$ ) over my umbrella ( $u$ )
- ▶ But I do prefer an umbrella and boots over a raincoat and no boots

## All Things Being Equal...



*All things being equal*, I prefer my raincoat over my umbrella

## All Things Being Equal...

Let  $\Gamma$  be a set of (preference) formulas. Write  $w \equiv_{\Gamma} v$  if for all  $\varphi \in \Gamma$ ,  $w \models \varphi$  iff  $v \models \varphi$ .

1.  $\mathcal{M}, w \models \langle \Gamma \rangle \varphi$  iff there is a  $v \in W$  such that  $w \equiv_{\Gamma} v$  and  $\mathcal{M}, v \models \varphi$ .
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Key Principles:

- ▶  $\langle \Gamma' \rangle \varphi \rightarrow \langle \Gamma \rangle \varphi$  if  $\Gamma \subseteq \Gamma'$
- ▶  $\pm \varphi \wedge \langle \Gamma \rangle (\alpha \wedge \pm \varphi) \rightarrow \langle \Gamma \cup \{ \varphi \} \rangle \alpha$

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## Preference Lifting, I

Given a preference ordering  $\preceq$  over a set of objects  $X$ , we want to **lift** this to an ordering  $\hat{\preceq}$  over  $\wp(X)$ .

Given  $\preceq$ , what reasonable properties can we infer about  $\hat{\preceq}$ ?

S. Barberá, W. Bossert, and P.K. Pattanaik. *Ranking sets of objects*. In Handbook of Utility Theory, volume 2. Kluwer Academic Publishers, 2004.

## Preference Lifting, II

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Can you infer that  $\{w, x\} \hat{\prec} \{y, z\}$ ?

## Preference Lifting, III

There are different interpretations of  $X \hat{\cong} Y$ :

- ▶ You will get one of the elements, but cannot control which.
- ▶ You can choose one of the elements.
- ▶ You will get the full set.



## Preference Lifting, IV

### Kelly Principle

(EXT)  $\{x\} \hat{\succ} \{y\}$  provided  $x \prec y$

(MAX)  $A \hat{\succ} \text{Max}(A)$

(MIN)  $\text{Min}(A) \hat{\succ} A$

J.S. Kelly. *Strategy-Proofness and Social Choice Functions without Single-Valuedness*. *Econometrica*, 45(2), pp. 439 - 446, 1977.

# Preference Lifting, IV

## Gärdenfors Principle

(G1)  $A \hat{\succsim} A \cup \{x\}$  if  $a \prec x$  for all  $a \in A$

(G2)  $A \cup \{x\} \hat{\succsim} A$  if  $x \prec a$  for all  $a \in A$

P. Gärdenfors. *Manipulation of Social Choice Functions*. Journal of Economic Theory. 13:2, 217 - 228, 1976.

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### Independence

(IND)  $A \cup \{x\} \hat{\succsim} B \cup \{x\}$  if  $A \hat{\succsim} B$  and  $x \notin A \cup B$

## Preference Lifting, V

**Theorem** (Kannai and Peleg). If  $|X| \geq 6$ , then no weak order satisfies both the Gärdenfors principle and independence.

Y. Kannai and B. Peleg. *A Note on the Extension of an Order on a Set to the Power Set*. *Journal of Economic Theory*, 32(1), pp. 172 - 175, 1984.

## From Worlds to Sets, I

$\mathcal{M}, w \models \varphi \preceq_{\exists\exists} \psi$  iff there is  $s, t$  such that  $\mathcal{M}, s \models \varphi$  and  $\mathcal{M}, t \models \psi$  and  $s \preceq t$

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$\mathcal{M}, w \models \varphi \preceq_{\forall\exists} \psi$  iff for all  $s$  there is a  $t$  such that  $\mathcal{M}, s \models \varphi$  implies  $\mathcal{M}, t \models \psi$ , and  $s \preceq t$

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$$\varphi \preceq_{\forall\exists} \psi := A(\varphi \rightarrow \Diamond \preceq \psi)$$

## From Worlds to Sets, II

$$\varphi \vDash_{\exists\exists} \psi := E(\varphi \wedge \Diamond \neg \psi)$$

$$\varphi \vDash_{\exists\gamma} \psi := E(\varphi \wedge \Diamond \neg \psi)$$

$$\varphi \vDash_{\forall\exists} \psi := A(\varphi \rightarrow \Diamond \neg \psi)$$

$$\varphi \vDash_{\forall\gamma} \psi := A(\varphi \rightarrow \Diamond \neg \psi)$$

## From Worlds to Sets, III

$\mathcal{M}, w \models \varphi \preceq_{\forall} \psi$  iff for all  $s$ , for all  $t$ ,  $\mathcal{M}, s \models \varphi$  and  $\mathcal{M}, t \models \psi$   
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## From Worlds to Sets, IV

$$\varphi \preceq_{\mathbb{W}} \psi := A(\psi \rightarrow \Box^{\preceq} \neg \varphi)$$

## From Worlds to Sets, IV

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## From Worlds to Sets,IV

$$\varphi \preceq_{\forall} \psi := A(\psi \rightarrow \Box^{\preceq} \neg \varphi)$$

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*We must assume the ordering  $\preceq$  is total*

## From Sets to Worlds

$$P_1 \gg P_2 \gg P_3 \gg \dots \gg P_n$$

$x > y$  iff  $x$  and  $y$  differ in at least one  $P_i$  and the first  $P_i$  where this happens is one with  $P_i x$  and  $\neg P_i y$

F. Liu and D. De Jongh. *Optimality, belief and preference*. 2006.

## Logics of Knowledge and Preference

$K(\varphi \succeq \psi)$ : “Ann knows that  $\varphi$  is at least as good as  $\psi$ ”

$K\varphi \succeq K\psi$ : “knowing  $\varphi$  is at least as good as knowing  $\psi$ ”

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J. van Eijck. *Yet more modal logics of preference change and belief revision*. manuscript, 2009.

F. Liu. *Changing for the Better: Preference Dynamics and Agent Diversity*. PhD thesis, ILLC, 2008.

$A(\psi \rightarrow \langle \gamma \rangle \varphi)$  vs.  $K(\psi \rightarrow \langle \gamma \rangle \varphi)$

$A(\psi \rightarrow \langle \lambda \rangle \varphi)$  vs.  $K(\psi \rightarrow \langle \lambda \rangle \varphi)$

*Should preferences be restricted to information sets?*

$$A(\psi \rightarrow \langle \lambda \rangle \varphi) \text{ vs. } K(\psi \rightarrow \langle \lambda \rangle \varphi)$$

*Should preferences be restricted to information sets?*

$\mathcal{M}, w \models \langle \lambda \cap \sim \rangle \varphi$  iff there is a  $v$  with  $w \sim v$  and  $w \preceq v$  such that  $\mathcal{M}, v \models \varphi$

$$K(\psi \rightarrow \langle \lambda \cap \sim \rangle \varphi)$$



D. Osherson and S. Weinstein. *Preference based on reasons*. Review of Symbolic Logic, 2012.

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$\varphi \succeq_X \psi$  “The agent considers  $\varphi$  at least as good as  $\psi$  for reason  $X$ ”

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*i envisions a situation in which  $\varphi$  is true and that otherwise differs little from his actual situation. Likewise  $i$  envisions a world where  $\psi$  is true and otherwise differs little from his actual situation. Finally, there utility according to  $u_X$  of the first imagined situation exceeds that of the second.*

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$p \succ_1 \neg p$ :  $u_1$  measures safety

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$p \succ_1 \neg p$ :  $u_1$  measures safety

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What is the status of  $p \succ_{1,2} \neg p?$      $p \prec_{1,2} \neg p?$

$(p \succ_1 \top) \succ_2 \top$ : it's in your financial interest that your buying a low-power automobile is in you safety interesting — which might well be true inasmuch as low-power vehicles are cheaper.



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$\neg q \succ_1 (p \succ_2 q)$ : from the point of view of family pride, you'd rather that your brother not run for mayor than that Miss Smith be the superior candidate.

At a set of atomic proposition,  $\mathbb{S}$  a set of **reasons**.

$$\langle W, s, u, V \rangle$$

- ▶  $W$  is a set of states
- ▶  $s : W \times \wp_{\neq \emptyset}(W) \rightarrow W$  is a selection function ( $s(w, A) \in A$ )
- ▶  $u : W \times \mathbb{S} \rightarrow \mathfrak{R}$  is a utility function
- ▶  $V : \text{At} \rightarrow \wp(W)$  is a valuation function

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$$\mathcal{M}, w \models \theta \succeq_X \psi \text{ iff } u_X(s(w, \llbracket \theta \rrbracket_{\mathcal{M}})) \geq u_X(s(w, \llbracket \psi \rrbracket_{\mathcal{M}}))$$

*provided  $\llbracket \theta \rrbracket_{\mathcal{M}} \neq \emptyset$  and  $\llbracket \psi \rrbracket_{\mathcal{M}} \neq \emptyset$*

$$\diamond\varphi =_{\text{def}} \varphi \succ_X \varphi$$

$$\square\varphi =_{\text{def}} \neg(\neg\varphi \succ_X \neg\varphi)$$

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Reflexive: for all  $w$  if  $w \in A$  then  $s(w, A) = w$ .

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$$\Box(p \rightarrow (p \prec_X \neg p)) \wedge \Box(\neg p \rightarrow (\neg p \succ_X p))$$

Regular: if  $A \subseteq B$  and  $w_1 \in A$  then If  $s(w, B) = w_1$  then  $s(w, A) = w_1$ .



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$\mathcal{M}$  is regular implies  $((p \vee q) \succ_X r) \rightarrow ((p \succ_X r) \vee (q \succ_X r))$  is valid.

$\mathcal{M}$  is regular and reflexive then

$((p \prec_1 \top) \succ_2 (q \prec_1 \top)) \rightarrow (\neg p \succ_2 \neg q)$  is valid.

“If it is ecologically better for  $p$  than for  $q$  to politically backfire the abstaining from  $p$  is ecologically better than abstaining from  $q$ . ”

$\mathcal{M}$  is proximal if for all  $w$  and  $A \neq \emptyset$ , If  $s(w, A) = w_1$  then there is no  $w_2 \in A$  such that  $V^{-1}(w) \Delta V^{-1}(w_2) \subset V^{-1}(w) \Delta V^{-1}(w_1)$ , where  $\Delta$  is the symmetric difference.

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$((p \wedge r) \succ_X (q \wedge r)) \wedge ((p \wedge \neg r) \succ_X (q \wedge \neg r)) \rightarrow (p \succ_X q)$  is invalid in the class of regular and in the class of proximal models, but valid in the class of models that are both proximal and regular.

$\mathcal{M}$  is proximal if for all  $w$  and  $A \neq \emptyset$ , If  $s(w, A) = w_1$  then there is no  $w_2 \in A$  such that  $V^{-1}(w) \Delta V^{-1}(w_2) \subset V^{-1}(w) \Delta V^{-1}(w_1)$ , where  $\Delta$  is the symmetric difference.

$((p \wedge r) \succ_X (q \wedge r)) \wedge ((p \wedge \neg r) \succ_X (q \wedge \neg r)) \rightarrow (p \succ_X q)$  is invalid in the class of regular and in the class of proximal models, but valid in the class of models that are both proximal and regular.

$$(p \wedge ((p \wedge q) \succ_X r)) \rightarrow (q \succ_X r)$$

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Thank you!